

## OLFACtORY DYSFUNCTION IN NEURODEGENERATIVE DISEASES

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Interest in olfactory dysfunction has increased tremendously in the past decade, in part due to observations that chemosensory impairment is an early symptom of many neurodegenerative diseases. Several features of the olfactory system make it particularly relevant to understanding neurodegeneration/regeneration. First, while being vulnerable to environmental and infectious exposure, the olfactory system has the unique property of ongoing replacement of the olfactory sensory neurons under physiological conditions and following injury. Second, the receptor neurons residing in the periphery are developmentally related to the central nervous system, yet are accessible relatively noninvasively via biopsy from living subjects. Third, olfactory performance can easily be tested in a variety of ways that provide insight into the function of brain areas involved in detection, identification and memory. In addition to providing insights into the etiology or diagnosis of disease, a better understanding of olfactory neurobiology is needed to develop ways to treat olfactory dysfunction, which affects both quality of life and personal safety. At least 3,000,000 Americans suffer from chemosensory disorders and that is likely to grow as the aging segment of the population increases. Olfactory impairment represents a danger to the individuals resulting from inability to detect hazards as natural gas and spoiled food and threatens quality of life through the loss of enjoyment of foods and fragrances. The neurological systems responsible for olfactory function represent perhaps the most diverse, complex and adaptable components of the nervous system. Losses in olfaction result from changes at both the anatomical and molecular level. This loss can result from aging, toxins, infectious agents, environmental factors and a variety of diseases. Understanding the neurobiology of this sensory system may help us to develop new diagnostic measures and treatments for neurodegenerative disease as well as improving the quality of life for millions of people who are handicapped by the inability to detect the flavors and fragrances around them.

**Key words:** Olfactory dysfunction, neurodegenerative diseases

### INTRODUCTION

Olfactory impairment is a common occurrence in aging and may be an early signal of neurodegenerative diseases. Olfactory loss caused by aging and diseases affects both quality of life and personal safety. Aging, as well as viral infections, neurodegenerative diseases, head injuries, chronic sinusitis infections and nasal obstructions are the most common causes of olfactory disorders (73). In addition, at least one third of older people report dissatisfaction with their sense of taste or smell (1, 70). The impact of sensory loss on elders is not only physiological but also emotional. The sense of smell and its capacity is critical for most mammals in terms of identification and evaluation of food, mates

and territories, and in general for the maintenance of a good quality of life.

The susceptibility of elderly people to aging and diseases, particularly neurodegenerative diseases, is varied. Therefore, complaints about sensory functions should be considered seriously as possible indicators of neurodegenerative diseases or other underlying conditions. The population of elderly is increasing as a result of improved health care throughout the world, and the attention of the medical and scientific community has focused on prevention and treatment of age-related diseases and dysfunctions. Olfactory dysfunction can lead to changes of dietary habits that may in turn exacerbate disease states or contribute to nutritional deficiencies (72, 73). Although diagnosis of taste and smell disorders has improved considerably over the last two decades, treatment of these disorders is still

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limited to conditions with discernible and reversible causes (71, 72).

Taste and smell are fundamental sensory systems responsible for the perception of aroma and flavor. Olfactory function is commonly measured based on either detection sensitivity (threshold), identification or discrimination of odors. These tests can be performed fairly easy and may be useful tools to improve diagnosis of conditions in which olfactory loss is an early symptom, such as Alzheimer's dementia, Parkinson's disease and other neurodegenerative disorders.

Unlike the central nervous system (CNS) neurons and other sensory neurons, olfactory neurons are unique in their ability to be replaced after injury. These primary receptor neurons are developmentally related to the central nervous system, yet are accessible for study from living patients. Studies of the cellular processes underlying the regenerative capacity of the peripheral and central components of the olfactory system are leading to new therapeutic approaches in the treatment of spinal cord injury (90, 91), and stroke (92, 93) and may also contribute to the treatment or prevention of neurodegenerative diseases. In this review, we will review recent progress made in understanding olfactory function and olfactory dysfunction in neurodegenerative diseases and aging.

### ***Anatomy and cellular features of olfactory system***

This sensory system is able to detect and discriminate an enormous variety of volatile molecules with great sensitivity and specificity. Tens of thousands of chemicals can be detected, many at concentrations as low as a few parts per trillion (94, 95). This feat is accomplished through anatomical, cellular and molecular features that are designed to amplify, encode and integrate a vast array of incoming olfactory information.

The olfactory epithelium is localized to the interior surface of the nasal cavity and consists primarily of three basic cell types: olfactory receptor neurons (ORNs), supporting cells, and basal cells (2). Post mortem biopsies, anatomical studies and explant cultures of olfactory neurons from different parts of the nasal cavity show that sensory epithelium extends from the olfactory cleft down to varying degrees onto the superior aspect of the medial turbinate (3, 4, 5). The turbinate structures are cartilaginous ridges covered with respiratory epithelium, a non-sensory ciliated columnar epithelial tissue also populated with mucus secreting goblet cells. This structure

increases the surface area available for both warming and humidifying incoming air, as well as funneling volatile chemicals up into the sensory epithelium. Human olfactory receptor neurons have a generally similar morphology to those of other vertebrates, although there is variation among species (6). The receptor cell is comprised of a cell body with an apical dendrite terminating in a knob containing multiple nonmotile cilia. The cilia project into the mucus overlying the nasal epithelium where they have direct contact with volatile chemicals in the air. Basally, an axon projects through the cribriform plate to synapse with the dendrites of mitral cells in the olfactory bulb. The mitral cells project via the olfactory nerve (cranial nerve I) to the entorhinal cortex, as well as regions involved in emotion and memory, such as the amygdala and hippocampus. Several types of interneurons modulate mitral cell activity, including periglomerular cells, tufted cells and granule cells. Granule cells are dopaminergic/GABAergic interneurons that are involved in signal processing and modulation (105, 106).

### ***Transduction Mechanisms***

During the past decade, tremendous advances in our understanding of the initial events in olfactory transduction have been made. The discovery of a large family of genes encoding for seven transmembrane domain, G-protein-coupled receptors that apparently represent odorant receptors is a cornerstone in this progress. Each olfactory receptor is responsive to a range of stimuli. Odorant binding leads to a depolarizing current within the cilia of the bipolar receptor cells that ultimately triggers the action potentials that collectively provide the neural code that is deciphered by higher brain centers (83). Most of the olfactory receptor proteins are linked to the stimulatory guanine nucleotide-binding protein ( $G_{olf}$ ). When stimulated, it activates the enzyme adenylate cyclase to produce the second messenger adenosine monophosphate (cAMP) (96). cAMP diffuses through the cell and activates cellular depolarization via the opening of cyclic-nucleotide-gated ionic channels (83). Another possible mechanism is that some odorants also activate cyclic guanosine monophosphate (cGMP), which is believed to play a role in the modulation of the sensitivity of olfactory receptor neurons, such as during adaptation (83). In addition, recent evidence indicates that some odorants may activate phospholipase C to produce the second messenger inositol trisphosphate

(IP<sub>3</sub>), which may also modulate the activity of the cAMP pathway via the activity of phosphoinositol-3 kinase (85). Medications, diseases or disorders that interfere with or alter the ability of these transduction pathways to operate can influence olfactory performance. Also, as this tissue is available via biopsy from live subjects, functional studies may suggest specific genetic or pathologic alterations related to early symptoms or causes of neurodegenerative disease (21).

#### ***Neuroregeneration in the olfactory system***

The exposure of ORNs to the external environment makes these primary sensory neurons vulnerable to injury from environmental insults such as toxins, infectious agents and trauma. However, unlike the central nervous system (CNS) neurons and other sensory neurons, ORNs are able to be replaced after injury. The average lifecycle of an ORN is approximately 30-120 days (8). This replacement process begins with a population of multi-potent basal neuroepithelial precursor cells which undergo successive stages of differentiation to a fully mature ORN. It is also thought that these cells may differentiate along a non-neuronal pathway, although there may be separate populations of precursor cells as well (107-110). Following olfactory nerve injury or toxic exposure, reconstitution of neuroepithelial cells and establishment of connections within the olfactory bulb can be enhanced by growth factors including retinoic acid, IGF-1, TGF- $\alpha$ , TGF- $\beta$  and FGF2 (9-12, 49). Apoptotic cell death has been observed in cells representing all stages of neurogenesis (e.g. in proliferating neuronal precursors, immature olfactory receptor neurons, and mature olfactory receptor neurons), implying that apoptotic regulation of neuronal numbers may occur at multiple stages of the neuronal lineage (83, 84). Remarkably, central components of the olfactory system may also be replaced. A population of proliferating stem cells arising in the subventricular zone migrate into the olfactory bulb where they differentiate into granule cells (50, 51). This process appears to continue throughout life, although the impact on olfaction and the mechanisms controlling proliferation and differentiation of these cells are unknown.

#### ***Age associated olfactory loss***

Detailed information about the prevalence of chemosensory disorders has been limited. Initial report covering late 1970s done by National Institutes of Health indicating that more than 2 million adults in the United States had a disorder of smell or taste. Another survey conducted by the National Geographic Society in 1987 showed that 1% of their 1.2 million respondents could not smell 3 or more of 6 odorants using a 'scratch and sniff' test. This study indicated that age was an important factor, with a decline beginning in the second decade of life (20). A recent study conducted by the NIH collaborating with the National Center for Health Statistics (NCHS) in 1993 to acquire information on the prevalence of smell/taste problems showed a prevalence of 2.7 million (1.4%) U.S. adults with olfactory problems. The prevalence rates increased exponentially with age. Almost 40% of respondents with a chemosensory problem (1.5 million) were 65 years of age or greater (71, 74). The ability to detect, discriminate and identify odors are most sensitive to age and disease related dysfunction (19, 20.). In spite of the widespread age-related prevalence of olfactory loss, remarkably little is known about the specific mechanisms responsible and no treatments are currently available.

Several features of olfactory system are particularly susceptible to age and disease associated changes that may lead to functional deficits. Changes at both the anatomical and molecular level may contribute to this loss. Local injury from physical or chemical causes, damage to neural projections, disturbance of the cycle of neurogenesis resulting from general malnutrition, infectious diseases, metabolic disturbances, drugs, or radiation, or alteration of the composition of the mucus due to medication are possible major causative reasons to be determined in the pathogenesis of olfactory dysfunction (73). The composition of mucus is critical to proper ORN function, and may change with hydration, which is often reduced in the elderly, as well as from age-related diseases or associated medications. Reduction and/or alteration in olfactory function may also be due to changes at the level of the receptor cell. For instance, a age-related loss of selectivity was observed in a study of odorant response characteristics of ORNs dissociated from biopsies (114), and age-related changes in ion channel distribution (111, 112), or other components of the intracellular signaling cascades (113) could result in receptor cell

dysfunction. Although the extent of olfactory epithelium is reduced with aging (21, 98), it remains unclear whether this accounts for age-related olfactory loss, as studies indicate that olfactory function is not affected even with substantial reduction of olfactory epithelial area (21, 22). Other anatomical changes, such as altered vascular and mucosal composition and peptidergic innervation could lead to reduced sensitivity through indirect mechanisms or changes in the transport and clearance of odorants (97). Sensory dysfunction may be a consequence of chronic diseases such as diabetes, cancer, radiation, surgery and dentures. However, in most cases, the cause of olfactory loss is unknown and the development of treatments will require a better understanding of the mechanisms underlying this sensory impairment.

#### ***Olfactory impairment and neurodegenerative diseases***

Scientists and physicians have paid increasing attention to the olfactory system and its function during the last decade, largely because of: (a) advances in our understanding of the histocompatibility basis for the receptor mechanisms, (b) evidence that the receptor cells undergo neurogenesis and both programmed and induced cell death, and (c) important technical and practical developments in psychophysical measurement, and (d) the accessibility of these cells relatively noninvasively from living subjects (14-18). These developments have led to the development of standardized olfactory testing that can assess detection sensitivity (threshold) and ability to identify odors. These tests can be easy to perform and may be useful in improving diagnosis (80). Since the first observation of olfactory function impairment in Parkinson disease (23) and senile dementia (24), olfactory function testing has revealed compromised olfactory function in a number of neurodegenerative diseases such as Alzheimer's disease (25-28), Parkinson disease (29, 30), Huntington's disease (31), HIV associated dementia (32, 33) and amyotrophic lateral sclerosis (57).

#### ***Alzheimer's Disease and Down's Syndrome***

Olfactory impairment and neuroanatomical changes in the central portions of the olfactory system occur early in the development of Alzheimer's disease, and olfactory testing has been explored as a diagnostic aide (79, 81, 98). Patients with AD perform more poorly on tests of odor identification (99, 100) and

exhibit altered olfactory evoked response potentials compared to age-matched controls (101). Olfactory tests alone, however, are insufficient to discriminate AD from Parkinson's disease and careful consideration of cognitive function is required to insure reliable results (102).

It is generally accepted that the classic AD neuropathology occurs in the entorhinal cortex very early in the development of the disease (103) and this observation led some to speculate that a causative agent might enter the brain via the nasal epithelium, and to investigate whether histological studies of biopsies of the olfactory neuroepithelium might be useful as an early diagnostic tool. However, more comprehensive studies indicate that the density of plaques and tangles in the olfactory bulb is less severe, and studies of the peripheral olfactory epithelium have been inconsistent. In general, phosphorylated tau and neurofilament proteins are not observed in the perikarya of the olfactory neurons, but are evident within the axons and dendrites of these cells (13, 104). Several studies have reported AD-specific neuropathology within the olfactory epithelium (7, 34, 81) but others using different markers, have noted similar features in non-AD and healthy olfactory tissue from elderly controls. In addition, studies that have included tissue from patients with other types of dementia or neurological disease have failed to identify any marker present in the olfactory epithelium that would serve to reliably distinguish AD from other conditions such as Parkinson's disease or vascular dementia (35, 36). Consistent with these findings, while we have observed some functional differences in preliminary studies of ORNs obtained via biopsy from patients with early stage AD, these neurons appeared normal morphologically and were able to respond to odorants (21, 82). Further studies of olfactory neuronal cell function may prove more useful than histology alone in understanding the alteration in cellular metabolism or signaling that herald the onset of this type of disease.

Patients with Down's syndrome display neuropathologic features similar in some respects to those seen in AD. Likewise, individuals with Down's syndrome had significant deficits in olfactory functioning compared to the control groups (78). The Alcohol Sniff Test (AST), a rapid screen for olfactory function, revealed olfactory deficits in children with Down's syndrome (43). Another study also indicated that olfactory

deficits may provide a sensitive and early indicator of the deterioration and progression of the brain in older patients with Down's syndrome (44).

#### **Parkinson's Disease**

Impairment in olfactory function is a well documented abnormality in patients with Parkinson's disease (PD) (64). The cellular and molecular mechanisms for this deficit are unknown, but likely relate to impairment at several levels of the olfactory system. Olfactory impairment in PD was not related to degree of motor dysfunction, or the disease duration, but was related to disease severity (30, 58, 59, 60, 65). Interestingly, Hawkes et al. proposed that idiopathic Parkinson's disease may start in the olfactory system prior to damage in the basal ganglia (61). Another study indicated that olfactory testing may be useful for differential diagnosis between PD and progressive supranuclear palsy (PSP) (63). Both PD and PSP have similar motor symptoms and PSP is commonly misdiagnosed as PD, although they are distinct neuropathologic entities (21, 63). In one study, olfactory dysfunction was seen in patients with an abnormal reduction in striatal dopamine transporter binding who subsequently developed clinical parkinsonism. None of 23 normosmic relatives of these patients developed signs or symptoms of parkinsonism (86). These observations indicate that olfactory deficits may precede clinical motor signs in PD, and support a practical clinical application in the early diagnosis/prognosis of the disease.

PD-related olfactory dysfunction may relate to the function of dopamine receptors in both central (105, 115-117) and peripheral components of the system (37-40). Centrally, dopamine modulates synaptic activity in the olfactory bulb and entorhinal cortex, influences the activity of several ion channels and enzymes involved in olfactory transduction, and has been reported to induce apoptosis and modulate differentiation of olfactory neurons in vitro (86-88). These effects are mediated via D2 receptors in the periphery (88), and D1 and D2 receptors on mitral/tufted and juxtaglomerular cells in the olfactory bulb (66). The dopaminergic granule cells in the olfactory bulb derive from stem cells that migrate throughout life from the subventricular zone. These stem cells are being studied as a potential source for dopaminergic replacement cells via transplant (62).

#### **Human Immunodeficiency Virus infection and AIDS**

HIV-associated dementia is a leading cause of neurodegenerative disorders among individuals under 30 years (89). The human immunodeficiency virus (HIV-1) infection infects the central nervous system (CNS) and 7-25 percent of patients with CNS infection develop dementia and at least 50% of them develop mild neurocognitive impairment. Several studies have shown that patients with neurocognitive impairment caused by HIV infection had diminished odor sensitivity (52-54). Impaired olfactory function may serve as early marker of HIV associated neurological impairment (55) and could be helpful to evaluate the impact of therapeutic agents. Schiffman also reported that HIV positive patients had significantly impaired menthol detection compared to controls. It is likely that the chemosensory losses found in HIV patients reflect both central and peripheral deficits (56). It was reported that out of 207 HIV-infected patients, 70% of them (n=144) reported that chemosensory complaints were associated with a poor quality of life (75). Wasting with reduced caloric intake is an increasingly common clinical manifestation of AIDS. The perceptions of taste and smell play an important role in stimulating caloric intake and flavor enhancement of food can have a significant positive impact on nutritional status in hospitalized patients (56). Significant taste and smell losses in HIV infected subjects may be of clinical significance in the development or progression of HIV associated wasting, and are thus worthy of clinical consideration and treatment (76).

#### **Creutzfeldt-Jacob disease**

Taste and smell loss was reported as an early sign of Creutzfeldt-Jacob disease (67). The pathologic prion protein (PrP<sup>Sc</sup>) was found in the neuroepithelium of the olfactory mucosa in patients with sporadic Creutzfeldt-Jacob disease (68). This result indicates that olfactory biopsy may provide diagnostic information, and further studies are warranted.

#### **Huntington's disease and Multiple Sclerosis**

Patients with Huntington's disease exhibit significant deficits in odor identification, but odor recognition memory was not found to be affected (69, 79). In an animal model of the disease, the olfactory system exhibited early and significant accumulation of huntingtin-containing aggregates, which may account for

the early olfactory impairment (77).

Olfactory dysfunction may also be an early indicator of disease progression in multiple sclerosis. The Cross Cultural Smell Identification test utilized in patients with multiple sclerosis indicated that these patients scored significantly worse than control groups. They also found a significant correlation between smell alteration and symptoms of anxiety and depression and the severity of neurological impairments (47).

In several studies, neuropathology based on plaque numbers were directly related to olfactory function (41, 42). As plaque numbers declined or increased in the inferior frontal and temporal lobes, olfactory function declined or improved in correlation (45, 46, 48). While these reports are suggestive of olfactory involvement and potential utility in diagnostic approaches for these diseases, no studies have been done to directly investigate the neuropathology or cell/molecular basis for the olfactory impairment.

### **Summary and future direction**

The olfactory system is a fundamental sensory system responsible for the perception of flavor and fragrance. Olfaction is critical for most mammals for the maintenance of a good quality of life. Although diagnosis of smell disorders caused by aging and neurodegenerative diseases, has improved considerably over the last two decades, treatment of these disorders is still limited to conditions with discernible and reversible causes. Sensory complaints are often overlooked by the medical community. Understanding the biological bases for olfactory system disorders can help us to develop new approaches to improve the quality of flavor experience for those with impaired ability. In addition, studies of olfaction and ORN function may lend new insight into the etiology of neurodegenerative disease. Future research is needed for a better understanding of chemosensory mechanisms, establishing improved diagnostic procedures, and disseminating knowledge about chemosensory disorders among practitioners and the general public (71, 72).

### **Acknowledgement**

Authors acknowledge critical reading by the University of Pennsylvania Postdoctoral Editing Club's editor Jennifer Kent during preparation of this manuscript. Funded in part through NIH DC 006760-01 (NR). This article reprinted from "Frontiers in Neurodegenerative Disorders and Aging: Fundamental Aspects, Clinical Perspectives and New Insights, Volume 358; NATO Science Series: Life and Behavioral Sciences. Eds: T. Özben and M. Chevion. Olfactory Dysfunction in Neurodegenerative Diseases. M. Hakan Ozdener, Nancy E. Rawson, 2004, with permission from IOS Press".

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