

H.M. Schambra\*

Departments of Neurology and Rehabilitation & Regenerative  
Medicine, Columbia University, New York, NY, USA

M. Bikson

Department of Biomedical Engineering  
The City College of New York, NY, USA

T.D. Wager

Department of Psychology and Neuroscience  
University of Colorado, Boulder, CO, USA

M.F. DosSantos

Health Sciences Center, Grande Rio University, Brazil

A.F. DaSilva

Department of Biologic and Materials Sciences  
University of Michigan, Ann Arbor, MI, USA

\* Corresponding author. Motor Performance Laboratory, Columbia  
University Medical Center, 710 W 168th St., Room 1112, New York,  
NY 10032, USA. Tel.: +1 212 305 0368.

E-mail address: [hms2150@cumc.columbia.edu](mailto:hms2150@cumc.columbia.edu)

Received 10 March 2014

Available online 5 May 2014

<http://dx.doi.org/10.1016/j.brs.2014.04.003>

## References

- Reis J, Fritsch B. Modulation of motor performance and motor learning by transcranial direct current stimulation. *Curr Opin Neurol* 2011 Dec;24(6): 590–6. PubMed PMID: 21968548.
- de Berker AO, Bikson M, Bestmann S. Predicting the behavioral impact of transcranial direct current stimulation: issues and limitations. *Front Hum Neurosci* 2013;7:613. PubMed PMID: 24109445. Pubmed Central PMCID: 3790257.
- Bikson M, Rahman A. Origins of specificity during tDCS: anatomical, activity-selective, and input-bias mechanisms. *Front Hum Neurosci* 2013;7:688. PubMed PMID: 24155708. Pubmed Central PMCID: 3800813.
- Brunoni AR, Ferrucci R, Fregni F, Boggio PS, Priori A. Transcranial direct current stimulation for the treatment of major depressive disorder: a summary of preclinical, clinical and translational findings. *Prog Neuropsychopharmacol Biol Psychiatry* 2012 Oct 1;39(1):9–16. PubMed PMID: 22651961. Epub 2012/06/02. eng.
- Brunoni AR, Valiengo L, Baccaro A, et al. The sertraline vs. electrical current therapy for treating depression clinical study: results from a factorial, randomized, controlled trial. *J Am Med Assoc Psychiatry* 2013 Apr;70(4):383–91. PubMed PMID: 23389323.
- Benedetti F, Carlino E, Pollo A. How placebos change the patient's brain. *Neuropsychopharmacology* 2011 Jan;36(1):339–54. PubMed PMID: 20592717. Pubmed Central PMCID: 3055515.
- Kirsch I, Sapirstein G. Listening to Prozac but hearing placebo: a meta-analysis of antidepressant medication. *Prev Treat* 1998;1(2). No Pagination Specified Article 2a.
- Sneed JR, Rutherford BR, Rindskopf D, Lane DT, Sackeim HA, Roose SP. Design makes a difference: a meta-analysis of antidepressant response rates in placebo-controlled versus comparator trials in late-life depression. *Am J Geriatr Psychiatry* 2008 Jan;16(1):65–73. PubMed PMID: 17998306.
- Mayberg HS, Silva JA, Brannan SK, et al. The functional neuroanatomy of the placebo effect. *Am J Psychiatry* 2002 May;159(5):728–37. PubMed PMID: 11986125. Epub 2002/05/03. eng.
- DosSantos MF, Martikainen IK, Nascimento TD, et al. Placebo tDCS induces acute changes in the endogenous mu-opioid system. *Cephalalgia* 2013; 33(8):196.
- Truong DQ, Magerowski G, Blackburn GL, Bikson M, Alonso-Alonso M. Computational modeling of transcranial direct current stimulation (tDCS) in obesity: impact of head fat and dose guidelines. *NeuroImage Clin* 2013;2:759–66. PubMed PMID: 24159560. Pubmed Central PMCID: 3778260.
- Datta A, Truong D, Minhas P, Parra LC, Bikson M. Inter-individual variation during transcranial direct current stimulation and normalization of dose using MRI-derived computational models. *Front Psychiatry* 2012;3:91. PubMed PMID: 23097644. Pubmed Central PMCID: 3477710.
- Annoni M. Highlights from the 2013 Science of Placebo thematic workshop. *Ecanermedicalscience* 2013;7:346. PubMed PMID: 24019848. Pubmed Central PMCID: 3760811.
- Kaptchuk TJ, Kelley JM, Conboy LA, et al. Components of placebo effect: randomized controlled trial in patients with irritable bowel syndrome. *BMJ* 2008

May 3;336(7651):999–1003. PubMed PMID: 18390493. Pubmed Central PMCID: 2364862.

- O'Connell NE, Wand BM, Marston L, Spencer S, Desouza LH. Non-invasive brain stimulation techniques for chronic pain. A report of a Cochrane systematic review and meta-analysis. *Eur J Phys Rehabil Med* 2011 Jun;47(2): 309–26. PubMed PMID: 21494222. Epub 2011/04/16. eng.
- Atlas LY, Wager TD. How expectations shape pain. *Neurosci Lett* 2012 Jun 29; 520(2):140–8. PubMed PMID: 22465136.
- DaSilva AF, Mendonca ME, Zaghi S, et al. tDCS-induced analgesia and electrical fields in pain-related neural networks in chronic migraine. *Headache* 2012 Sep;52(8):1283–95. PubMed PMID: 22512348.
- Basbaum AI, Fields HL. Endogenous pain control systems: brainstem spinal pathways and endorphin circuitry. *Annu Rev Neurosci* 1984;7:309–38. PubMed PMID: 6143527.
- Stagg CJ, Lin RL, Mezuze M, et al. Widespread modulation of cerebral perfusion induced during and after transcranial direct current stimulation applied to the left dorsolateral prefrontal cortex. *J Neurosci* 2013 Jul 10;33(28):11425–31. PubMed PMID: 23843514. Pubmed Central PMCID: 3724554.
- Kaptchuk TJ, Friedlander E, Kelley JM, et al. Placebos without deception: a randomized controlled trial in irritable bowel syndrome. *PLoS One* 2010;5(12): e15591.

## Central Neural Versus Peripheral Muscular Origin of Vagus Somatosensory – Evoked Potentials



Dear Editors,

On sensory stimulation of the auricular branch of the Vagus nerve (ABVN) evoked potentials are recordable at the scalp which we called Vagus somatosensory evoked potentials (VSEP; 1). In previous studies, we discussed as an alternative explanation to a central neural origin of these potentials an interference with the facial or trigeminal nerve and thus a muscular origin. However, we regarded the latter as unlikely because of the reasons outlined in Table 1. In addition, VSEP show the same wave shape pattern in case of stimulation with needle electrodes. This is opposite to what was found in trigeminal SEP: The large myogenic potential (4–6 ms after stimulation) disappear when needle electrodes are used for stimulation [2]. As a consequence of the view of ABVN stimulation as an access to vagal afferences, more and more scientific studies now use this easily available way of stimulation for therapeutic purpose in various neuropsychiatric, inflammatory and cardiac diseases. However, Leutzow et al. recently described the disappearance of VSEP under anesthesia with pharmacologically induced muscle paralysis [3]. How can we integrate these results?

The observation of Leutzow et al. is an interesting finding. Muscular contamination is a long known problem that may even occur in medianus-SEP, for example, and differentiation is not easy [4]. However, Leutzow's results must be interpreted carefully before definite conclusions can be drawn. First, there are methodological differences between their work and ours, as they used a different stimulation electrode and no automatic artefact rejection that may have facilitated muscular contribution to their potentials. Second, on the start of anesthesia with propofol the wave shape pattern changes and an early peak at 1 ms disappears which should not be neither in peripheral muscular nor in central neural potentials. Third, the possibility that propofol used for anesthesia peaks when cis-atracurium is added and than has additional effects cannot be excluded since there is no control with propofol alone. Fourth, cis-atracurium is metabolized to laudanosine which is known to cross the blood brain barrier with CNS stimulating effects leading to EEG changes and, thus, may affect central nervous system potentials [5]. Interestingly, scalp recorded evoked potentials with latencies around 3 ms upon classical cervical stimulation of the

**Table 1**

Observations supporting a central neural origin of Vagus somatosensory evoked potentials (VSEP).

- more effective stimulation of VSEP at the tragus than at any other site of the outer ear
- prolonged latencies and diminished amplitudes of VSEP upon application of local anesthesia in the stimulation zone at the tragus [1]
- ipsilateral amplitude reduction in a subject with clinically asymmetric affection of Parkinson's disease [1]
- latencies of the first VSEP component <3 ms whereas latencies for muscle sum potentials recordable upon trigeminal and facial nerve stimulation are considerably longer [10]
- resemblance to neural scalp-recordable evoked potentials upon classical cervical stimulation of the main vagus branch that persist under neuromuscular block [6]
- prolonged VSEP latencies in Alzheimer's and Parkinson's disease with known brainstem pathology, but not in Vascular dementia and Major depression
- trend for longer P1 latency in subjects with MS with brainstem involvement as compared to the group without
- linear trend of prolongation in all three peaks in groups of healthy over mild cognitive impaired to demented elderly subjects
- BOLD signal in a region attributed to the Locus coeruleus, a central relay station of the main vagus afferences upon R. auricularis stimulation [9]

main vagus branch persist when muscle paralysis during anesthesia is done with vecuronium and rocuronium, respectively, and they resemble VSEP upon R. auricularis stimulation [6]. Fifth, Leutzow et al. proposed that ABVN stimulation could lead to direct motor responses excited by a surface spread to nearby facial or trigeminal motor fibres. If this assumption was true, our findings of prolonged latencies in neurodegenerative diseases (Table 1) would imply that not only vagus-associated brainstem fibres, but also other brain nerves are target of neurodegeneration as it has been shown for sensory vagal branches of the oesophagus in PD [7] and for the optic nerve and retina in AD [8]. However, surface spread to nearby trigeminal and facial fibres seems not probable because VSEP show reduced amplitudes and prolonged latencies when the stimulation zone is treated with local anesthetics and are optimal only when stimulation is done at the tragus and not when done at any other site of the outer ear (Table 1).

In summary, whereas at the moment numerous observations are arguing for a predominantly central neural origin of VSEP, Leutzow et al. correctly point out that a muscular contribution cannot be excluded. Still missing is an animal study directly measuring electrical brain stem activity during ABVN – stimulation as it has been performed for acoustic evoked potentials. Likewise as proposed by Leutzow et al., in addition to fMRI studies [9] further electrophysiological investigations including source localization in humans are warranted to better define the origin of the potentials that can be measured on stimulation of the tragus and which we called VSEP.

Conflict of interest: The authors declare that they have no conflict of interest.

Thomas Polak\*

University Clinic Wuerzburg, Center of Mental Health  
Department of Psychiatry, Psychosomatics and Psychotherapy  
Fuechsleinstrasse 15, D-97080 Wuerzburg, Germany

Florian G. Metzger

University Clinic Tuebingen, Department of Psychiatry and  
Psychotherapy, Osianderstrasse 24, D-72076 Tuebingen, Germany

Jürgen Deckert

University Clinic Wuerzburg, Center of Mental Health  
Department of Psychiatry, Psychosomatics and Psychotherapy  
Fuechsleinstrasse 15, D-97080 Wuerzburg, Germany

Andreas J. Fallgatter

University Clinic Tuebingen, Department of Psychiatry and  
Psychotherapy, Osianderstrasse 24, D-72076 Tuebingen, Germany

\* Corresponding author. Tel.: +49 931 201 77870;  
fax: +49 931 201 77120.

E-mail addresses: Polak\_T@klinik.uni-wuerzburg.de,  
turm.polak@arcor.de (T. Polak)

Received 23 March 2014

Available online 5 May 2014

<http://dx.doi.org/10.1016/j.brs.2014.04.003>

## References

- [1] Fallgatter AJ, Polak T, Metzger F, et al. Brainstem Vagus nuclei evoked potentials – a new diagnostic method in neuropsychiatry? *Nervenheilkunde* 2006;25: 669–73.
- [2] Stechison MT. The trigeminal evoked potential: Part II. Intraoperative recording of short latency responses. *Neurosurgery* 1993;33:639–44.
- [3] Leutzow B, Lange J, Gibb A, et al. Vagal sensory evoked potentials disappear under the neuromuscular block – an experimental study. *Brain Stimul* 2013;6: 812–6.
- [4] Cracco RQ, Bickford MB. Somatomotor and somatosensory median nerve stimulation in man. *Arch Neurol* 1968;18:52–68.
- [5] Parker CJR, Jjones JE, Hunter JM. Disposition of infusions of atracurium and its metabolite, laudanosine in patients in renal and respiratory failure in an ITU. *Br J Anaesth* 1988;61:531–40.
- [6] Usami K, Kawai K, Sonoo M, Saito N. Scalp-recorded evoked potentials as a marker for afferent nerve impulse in clinical vagus nerve stimulation. *Brain Stimul* 2013;6:615–23.
- [7] Mu L, Sobotka S, Chen J, et al. Alpha-synuclein pathology and axonal degeneration of the peripheral motor nerves innervating pharyngeal muscles in Parkinson disease. *J Neuropathol Exp Neurol* 2013;72:119–29.
- [8] Zhao H, Chang R, Che H, et al. Hyperphosphorylation of tau protein by calpain regulation in retina of Alzheimer's disease transgenic mouse. *Neurosci Lett* 2013;551:12–6.
- [9] Kraus T, Kiess O, Hösl K, et al. CNS BOLD fMRI effects of sham-controlled transcatheter electrical nerve stimulation in the left outer auditory canal – a pilot study. *Brain Stimul* 2013;6:798–804.
- [10] Leandri M, Parodi CI, Zattoni J, Favale E. Subcortical and cortical responses following infraorbital nerve stimulation in man. *Electroencephalogr Clin Neurophysiol* 1987;66:253–62.

## On the Origin of Scalp Responses – A Comment on the Letter of Polak et al.



To the Editor:

We read with interest the Letter to the Editor from Polak et al. entitled “Central neural versus peripheral muscular origin of vagus somatosensory – evoked potentials,” where the authors advocate the brainstem origin of the scalp responses (SR) registered during electrical stimulation of the inner side of the tragus.

Previously, the same research group (Fallgatter et al., 2003) described these SR in healthy volunteers, which were called (analogously to brainstem auditory evoked potentials) the vagus somatosensory evoked potentials (VSEP) [1]. In the last decade this research group published the results of several human experimental and clinical studies, where the methodological and diagnostic aspects of the postulated VSEP were further examined.

In our recent publication we have reported that the VSEP disappear after neuromuscular block (NMB) with cis-atracurium in patients scheduled to non-cranial surgery [2]. Usually, the early auditory brainstem or somatosensory evoked potentials do not disappear under NMB; in the absence of the muscular artifacts under NMB the evoked potentials are getting even better [3]. Therefore, we