

# Brain dendritic cells: biology and pathology

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**Abstract** Dendritic cells (DC) are the professional antigen-presenting cells of the immune system. In their quiescent and mature form, the presentation of self-antigens by DC leads to tolerance; whereas, antigen presentation by mature DC, after stimulation by pathogen-associated molecular patterns, leads to the onset of antigen-specific immunity. DC have been found in many of the major organs in mammals (e.g. skin, heart, lungs, intestines and spleen); while the brain has long been considered devoid of DC in the absence of neuroinflammation. Consequently, microglia, the resident immune cell of the brain, have been charged with many functional attributes commonly ascribed to DC. Recent evidence has challenged the notion that DC are either absent or minimal players in brain immune surveillance. This review will discuss the recent literature examining DC involvement within both the young and aged steady-state brain. We will also examine DC contributions during various forms of neuroinflammation resulting from

neurodegenerative autoimmune disease, injury, and CNS infections. This review also touches upon DC trafficking between the central nervous system and peripheral immune compartments during viral infections, the new molecular technologies that could be employed to enhance our current understanding of brain DC ontogeny, and some potential therapeutic uses of DC within the CNS.

**Keywords** Dendritic cells · Steady-state · Neuroinflammation · Pathogens

## Introduction

Dating back to the first half of the twentieth century, the central nervous system (CNS) has historically been considered a site devoid of immunological surveillance in the steady-state, and is often referred to as an immunologically privileged site [17, 112]. Several observations have contributed to this “privileged” status. The most notable is the presence of a blood–brain barrier (BBB), a complex layer of astrocyte sheathed endothelial cells stitched together by a network of tight junctions [1, 13]. The network of tight junctions primarily functions to control the flux of soluble factors between the blood and neuronal tissue, while the glia limitans (astrocytic endfeet) are also responsible for limiting the entry of immune cells into the CNS parenchyma in the absence of inflammation [6]. Additional evidence in support of the concept of immunological privilege stems from a 1981 study, which demonstrated that while other tissues were rich in cells expressing major histocompatibility complex class II (MHC II<sup>+</sup>), none were detected in the CNS parenchyma of young animals [61]. Finally, the steady-state brain is also associated with a lack of lymphocytes and limited access to the lymphatic system,

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and as such has largely been considered a poor site for immune priming [8, 67, 130].

Over time, the explanation for immunological privilege has evolved from a CNS lacking immune surveillance to one that describes the active regulation of the BBB and the afferent arm of adaptive immunity [49]. More specifically, immunologically privileged CNS regions have been redefined to refer to the brain parenchyma located beyond the glia limitans. This new concept also acknowledges the presence of discretely located and tightly controlled portals and passages into and around the CNS parenchyma, which allow for trafficking and functional interactions of leukocytes. The most studied of these anatomic structures are the meninges and ventricles. However, the circumventricular organs (the area postrema, the intermediate and neural lobes of the pituitary, the organum vasculosum of the lamina terminalis, subcommissural organ, and the subfornical organ) and the choroid plexus are also gaining recognition as sites associated with CNS immunological surveillance during the steady-state, and primary points of entry for activated leukocytes during neuroinflammation [5, 23, 40, 44, 86, 105, 138, 146, 156]. The circumventricular organs also serve as the nexus of immune–endocrine interaction (specifically the hypothalamic–pituitary–adrenal axis) following inflammation and pathogenic insult [22, 40].

Another pathway that has attained prominence follows along olfactory nerve projections due to their interface between the CNS and the external environment. Olfactory nerves project from the nasal mucosa through the cribriform plate and terminate in the olfactory bulb (located within the CNS). Lymphatic vessels, found throughout the submucosa of the nasal turbinates, form a cuff around these nerves that permits the collection of cerebral spinal fluid (CSF), and estimates show that at least 40–50 % of the CSF exits through this route in some species [87, 120]. Furthermore, both pathogens and cells have been shown to use this vulnerability both to gain access into and exit from the brain parenchyma [33, 37, 38, 53, 78, 162].

Among leukocytes, dendritic cells (DC) have been well documented as important functional components of the immune system both in the steady-state and during the innate and adaptive immune responses. These key cellular components of immunity are found within the meninges and circumventricular organs of both healthy and immunologically challenged mice, rats, and humans [60, 106, 109, 125, 147]. Thus, while the focus of this review is on the presence of DC within the CNS, their involvement in CNS immunity is still a relatively new field of study. Consequently, we will first briefly describe the roles of microglia and perivascular macrophages (PVM)—cells more traditionally associated with CNS immune responses.

## CNS immune cells

### Microglia

The CNS mononuclear phagocytic system is comprised mainly of a tissue resident population of macrophages known as microglia, characterized by high CD11b (integrin  $\alpha_M$ , a cell adhesion molecule that complexes with CD18 to form Mac-1/complement receptor 3) and intermediate expression of CD45 (member of the protein tyrosine phosphatase family found on all leukocytes). In the steady-state adult brain, microglia can range from 5 to 12 % of all CNS cells and are uniformly arranged independent of cell layers or blood vessel distribution throughout the parenchyma [93]. Similarly, microglia morphology varies considerably. White matter microglia have elongated somata and processes oriented preferentially along fiber tracts, microglia in the circumventricular organs exhibit a compact morphology with a few short processes, and in the gray matter microglia exhibit many elaborate radially oriented processes [93]. Although novel non-immunologic roles for microglia are being described (e.g. during steady-state homeostasis, synaptic plasticity, developmental and adult neurogenesis, learning and memory, and neurotoxicity prevention [143, 163]), little is known about the heterogeneity of microglia and the differences in functional capacities of individual microglial subpopulations among and within CNS regions [84]. Our knowledge gap is further amplified in pathological situations, where blood-derived monocytes/macrophages infiltrate the CNS. The extent of overlap and/or complementary functions between resident microglia and infiltrating mononuclear cells is currently being explored. What is well established is that microglia constitute the main arm of innate immune responses in the CNS [50]. Similar to peripheral macrophages, microglia exhibit various forms of activation [29] with distinct reactive microglial phenotypes corresponding to the background stimulus, e.g. infection, injury, neurodegeneration [29]. Classical activation is mediated by interferon gamma (IFN- $\gamma$ ), which promotes phagocytic and destructive functions. During infection, toll-like receptor (TLR) activation triggers a proinflammatory state, well characterized by expression of cytokines like tumor necrosis factor alpha (TNF- $\alpha$ ), interleukin (IL)-1 $\beta$ , and IL-12, as well as chemokines, proteases, and redox proteins that aid in host defense [29]. Recently, the question of whether activated microglia participate in antigen presentation and the initiation and/or modulation of adaptive immune responses has become an active field of study.

### Perivascular macrophages

PVM are myeloid cells found within the perivascular or Virchow-Robin space [129]. PVM juxtapose to cells of the

tunica media, wrapping their processes around the vasculature and aid in the BBB formation [56, 68, 159]. PVM are continuously replaced by bone marrow (BM) progenitor cells during the steady-state [14, 15], but can accumulate during neuroinflammation [10, 91]. Aside from their anatomical location, PVM are similar in phenotype to other tissue macrophages, and their functions include phagocytosis of cellular and pathogenic debris, surveillance of the interstitial space via pinocytosis, and initiation of the CNS acute phase response through the production of prostaglandins [43, 85, 145, 148]. PVM, their origin, immunological function, and role in neurodegeneration have been reviewed extensively elsewhere [133, 168].

### Dendritic cells

DC specialize in antigen capture, processing, and presentation to T lymphocytes to induce either tolerance or adaptive immunity [154]. DC represent distinct cell populations found in both lymphatic and non-lymphatic tissue, in which their ontogeny and functional roles are equally diverse. DC are currently believed to follow two developmental pathways, each originating from common hematopoietic stem cells. Monocyte/DC progenitors (MDP) sequentially gives rise to common DC progenitors, a precursor DC (pre-DC), and plasmacytoid DC (pDC) [16]. Pre-DC then egress into the circulation to populate most tissue, where they terminally differentiate into classical DC (cDC) [99]. cDC include CD8 $\alpha$ <sup>+</sup> DC in mice, termed “cross-presenting cells” due to their functional specialization in presenting antigens from dead and dying cells and viral infections. Upon leaving the BM, pDC are widely distributed among all tissue, but are distinguished from cDC based on their morphological similarity to plasma cells, less effective antigen presentation ability, and rapid production of type I interferons after activation. An alternative DC developmental circuit occurring after the MDP stage involves monocytes. Under inflammatory conditions, monocytes undergo diapedesis and translocate into the afflicted tissue to differentiate into monocyte-derived DC or macrophages depending on the local cytokine milieu [98]. Overall, the heterogeneity in DC development is directly linked to DC function and results in a diverse array of transcriptional regulators and cell surface markers used in the identification and characterization of these cells [64].

The lack of detectable DC in the steady-state CNS was believed, by some, to be a consequence of immunological privilege. This concept was reinforced by studies demonstrating that only when the BBB is compromised, such as during severe injury or inflammation, are functional DC identified within the parenchyma of the CNS [124]. Other hypotheses favor the existence of a “unique immune system” in the brain comprised of microglia, astrocytes, and

PVM, which can respond to infection or brain trauma [68, 152, 170]. However, while microglia have been well characterized by morphological changes and elicited cytokine responses following CNS damage or infection [136, 150], they have shown poor antigen-presenting capacity [12, 26, 57]. Thus, microglia do not fulfill the major requirement for initiating adaptive or maladaptive immune responses. Part of the problem in identifying immune competent antigen-presenting cells (APC) in the brain may lie in the misconception that microglia are a single population of cells in the steady-state. Indeed, several studies show that microglia are heterogeneous [24, 139], and subpopulations of these cells may serve as precursors for DC [47, 144].

### DC in the steady-state CNS

In 1996, MHC II<sup>+</sup> cells were observed in the meninges and choroid plexus of rodents [106]; however, at this time, DC were only poorly defined by phenotypic criteria and the precise nature of these cells was not well described. In humans, myeloid (CD11c<sup>+</sup> HLA-DR<sup>hi</sup>, CD123<sup>lo</sup>) and plasmacytoid (CD11c<sup>neg</sup> HLA-DR<sup>mod</sup>, CD123<sup>hi</sup>) cells were observed in the CSF of patients with neurological disease [125, 132] (see Table 1 for descriptions of the various phenotypic markers used throughout this review). A low number of these cells are found within the parenchyma, similar to levels in circulation, suggesting that they might be involved in surveillance. However, the presence of DC in steady-state CNS tissue in humans is unclear, although several observations in the non-inflamed steady-state brain warrant further clarification.

Anatomic studies that addressed the distribution of DC, or their precursors, in the mouse brain during the steady-state were conducted with the aid of a transgenic (Tg) reporter mouse in which the promoter for CD11c (integrin  $\alpha_x$ ) was used to drive the expression of enhanced yellow fluorescence protein (EYFP) [95]. CD11c is similar to CD11b in that it also complexes with CD18 and is highly expressed on DC. The presence of this molecule has been used as a distinguishing marker to identify DC progenitors in BM, as well as immature and mature DC in other peripheral locations [2, 18, 114]. CD11c, however, can also be moderately expressed on other immune cells, e.g., natural killer cells, B and activated T lymphocytes, monocytes, and microglia [70, 83, 131].

Results by Bulloch and colleagues have revealed a discrete network of putative EYFP-labeled DC within the steady-state brain of *Cd11c/eyfp* Tg (referred to as EYFP<sup>+</sup> bDC) that co-localize with other immune markers commonly associated with both DC and microglia/macrophages (e.g. CD11b/Mac-1, Iba-1, CD45, and F4/80), but not with markers associated with neurons or glia (e.g. NeuN, DCX,

**Table 1** List of cell surface markers, and their reported functions, used to phenotype cell populations

Name	Definition	Expression	Function
33D1	Dendritic cell-specific antigen	Dendritic cells	Unknown
CD103	Integrin alpha-E	T lymphocytes, dendritic cells	Lymphocyte homing
CD115 (c-fms)	Macrophage colony stimulating factor receptor	Monocytes, macrophages	Proliferation and differentiation of cells in the monocytic lineage
CD11b/Mac-1	Integrin $\alpha$ -M	Myeloid cells	Cell adhesion molecule
CD11c	Integrin $\alpha$ -X	Dendritic cells, a subset of intestinal intraepithelial lymphocytes and some activated T cells	Leukocyte adhesive interaction
CD123	IL3Ra	Hematopoietic progenitors, plasmacytoid dendritic cells, macrophages	IL-3 signaling
CD24a	Ly-52	B lymphocytes, monocytes, macrophages	Cell adhesion molecule
CD36a	Fatty acid translocase	Monocytes, macrophages, B lymphocytes	Scavenger protein
CD4	Cell surface receptor	Dendritic cells, T lymphocytes	T-cell development and optimal functioning of mature T cells
CD40	Type I transmembrane glycoprotein	B lymphocytes, follicular dendritic cells, peripheral T cells	B-cell development and maturation
CD45	Protein tyrosine phosphatase	Leukocytes	Leukocyte differentiation/activation
CD45R	B220	B lymphocytes, natural killer cells, plasmacytoid dendritic cells	Tyrosine phosphatase
CD47	Neurophilin	Hematopoietic cells	Thrombospondin receptor
CD49b	Integrin alpha subunit	Natural killer cells, natural killer T cells	Cell adhesion
CD80 (B7.1)	A member of the Ig superfamily	Macrophages, dendritic cells, activated B cells	Activation of T and B cells by interaction of CD28 and CD152
CD86 (B7.2)	A member of the Ig superfamily	B lymphocytes, macrophages, dendritic cells	T–B crosstalk, T-cell costimulation, autoantibody production and Th2-mediated Ig production
CD8a	Cell surface receptor	Dendritic cells, T lymphocytes	T-cell development and activation of mature T cells
DCX	Doublecortin	Migrating neurons, axons of differentiating neurons	Microtubule binding protein required for normal neural migration and cortical layering during development
DEC-205	Integral membrane glycoprotein	CD8 <sup>+</sup> dendritic cells, bone marrow derived dendritic cells	Antigen uptake, trafficking and presentation
Flt3	CD135	Hematopoietic progenitors, dendritic cells	Myeloid and and lymphoid development; binds Flt3L
F4/80	Transmembrane protein	Mature macrophages	Maturation of macrophages
GFAP	Glial fibrillary acidic protein	Astrocytes and ependymal	Maintain mechanical strength and cell strength
Gr-1 (Ly-6G)	Glycophosphatidyl-inositol (GPI)-linked protein	Myeloid lineage, bone marrow granulocytes, peripheral neutrophils	Unknown
Iba-1	Ionized calcium-binding adaptor molecule 1	Macrophages, microglia, monocytes	Calcium binding peptide
Ly-6C	Glycophosphatidyl-inositol (GPI)-linked protein	T lymphocytes, natural killer cells, monocytes, macrophages	T-cell homing
MHC I	Major histocompatibility complex I	Ubiquitous	Presentation of fragments of proteins from within the cell to T cells
MHC II	Major histocompatibility complex I	B lymphocytes, monocytes, macrophages, dendritic cells, activated T lymphocytes	Presentation of extracellular pathogens to T cells
NeuN	Neuron-specific nuclear protein	Neurons	DNA-binding nuclear protein
NG2	Chondroitin sulfate proteoglycan 4	Oligodendrocytes	Unknown
Nk1.1	Killer cell lectin-like receptor subfamily B, member 1	Natural killer cells, natural killer T cells, natural killer dendritic cells	Regulation of NK cell function
PDCA-1	A specific marker of pDC	Plasmacytoid dendritic cells	Trafficking, blocking of retroviral budding

NG2 proteoglycan and GFAP) [23]. EYFP<sup>+</sup> bDC are evident in the CNS during embryonic day 6, postnatal day 2, and adult brains in regions of synaptic plasticity and neurogenesis. EYFP<sup>+</sup> bDC are also noted in regions that lack the structural integrity of a BBB such as the circumventricular organs, the olfactory and trigeminal-associated extracellular pathways, the meninges, the choroid plexus, and in many fiber tracts that traverse the brain [100, 162]. More recently, Proding et al. [134] utilized an analogous Tg system in which the human diphtheria toxin receptor and enhanced green fluorescent protein (EGFP) are expressed under the CD11c promoter. In addition to the brain regions previously identified by Bulloch et al., EGFP-expressing cells were associated with juxtavascular parenchyma and were found integrated with the glia limitans. Interestingly, Proding et al. described an increase in EGFP<sup>+</sup> expression in cultured brain slices highlighting a population of cells capable of up-regulating CD11c expression in response to trauma. This data corroborate studies carried out in vivo by Bulloch et al., Felger et al., and Gottfried-Blackmore et al., which identified an increase in *Cd11c/eyfp* expressing cells following brain trauma after seizures, stroke, and cytokine injections.

In addition to phenotypic evidence, recent work using both developmental and functional criteria identified DC that develop from pre-DC in the meninges and choroid plexus of mice [5]. Phenotypic markers (CD11c, CD11b, MHC II, DEC205) fail to distinguish DC adequately from pDC, monocytes, macrophages, and even activated microglia [51, 54]. The development of meningeal/choroid plexus DC (m/chDC), like cDC in the spleen, is *fms*-like tyrosine kinase 3 ligand (Flt3L) dependent. These cells repopulate from pre-DC with kinetics equal to spleen cDC. Other attributes they share with cDC include MHC II<sup>+</sup> CD11c<sup>+</sup> CD115/M-CSFR<sup>neg</sup>, and elevated expression of transcripts for CD103, CD24a, CD36a, and TLR 3. M/chDC also express several transcription factors common to DC lineages including ID2, RBP-J and BATF3. Functionally, m/chDC were similar to spleen CD8 $\alpha$ <sup>+</sup> DC based on antigen presentation of self-peptide complexes on the cell surface, and the ability to present CNS peptides and allo-antigens to T cells, unlike microglia which do not present antigens *ex vivo* [5]. Notably, these m/chDC are positioned along sites of activated T-cell entry into the brain during inflammation [138].

## DC and neuroinflammation

The vascular endothelium and glia limitans change as a result of secreted cytokines and enhanced recruitment of leukocytes into perivascular spaces during neuroinflammation, leading to a compromised BBB [13]. Consequently, DC are

prevalent within the brain parenchyma in response to autoimmunity, injury, aging, and infections. As of this review, most of what is known about DC within the CNS is limited to phenotypic evidence of cell populations that share cell surface attributes analogous with peripheral DC subsets, but in some cases, CNS DC have been functionally characterized as antigen presenters.

## Experimental autoimmune encephalomyelitis

Multiple sclerosis (MS) is considered to be a chronic CNS inflammatory disease that is immune mediated [32]. Evidence for the immune origin of MS consists of: (1) the presence of activated myelin-specific lymphocytes, as well as other immune cells (e.g., activated microglia and macrophages) within MS lesions, and (2) genetic evidence of increased susceptibility correlating to mutations in MHC regions [137]. Despite its documented limitations, experimental autoimmune encephalomyelitis (EAE) is the most widely utilized animal model for MS, as each disease shares some clinical and pathological features [117, 118]. EAE is established by either immunizing animals with encephalitogenic peptides (most commonly myelin basic protein, myelin oligodendrocyte glycoprotein, and proteolipid protein) or through adoptive transfer of myelin-reactive T cells.

During EAE, DC isolated from the CNS at the onset of disease are found to prime inflammatory T cells, whereas DC isolated at the peak of disease seem to be poor APC and may support the development of regulatory T cells [8, 41, 115]. Other studies indicate that CNS DC can function as efficient APC and may serve to amplify intracerebral T-cell responses [46, 79]. In addition, strong evidence also supports peripheral (not resident) DC as being necessary and sufficient for the reactivation of encephalitogenic T cells [58]. Although numerous studies emphasize the potential relevance of DC for CNS immune surveillance or autoimmune reactions, the contribution of DC in the initiation and perpetuation of neuroantigen-specific T-cell responses remains elusive [171]. Interestingly, while the trafficking of peripheral DC into the inflamed CNS is undisputed, their ability to exit the CNS and present CNS antigens to naive T cells in peripheral lymphoid organs is unresolved, and unlikely, given the lack of a typical lymphatic draining system [167].

Multiple mechanisms have been proposed to account for the accumulation of DC within the CNS during neuroinflammation caused by EAE, specifically the infiltration from peripheral bone-marrow-derived precursors, and/or the differentiation of resident microglia into DC. Granulocyte/macrophage colony-stimulating factor (GM-CSF), but not Flt3-ligand, is sufficient to recruit DC from the periphery via local expression of CC chemokine



ligand 2 (CCL2). These recruited DC are phenotypically and functionally identical to DC found within the CNS during EAE [66]. GM-CSF, however, was not shown to induce significant brain resident DC [66], which is in contrast to in vitro studies showing up to 30 % differentiation of microglia into a DC phenotype [144]. Cultured microglia can be induced to become effective APC following stimulation with GM-CSF and CD40 ligation [47], suggesting that perhaps additional activation stimuli are required for microglia conversion. More recently, using a *Cd11c/eyfp* Tg mouse, Gottfried-Blackmore et al. [54] demonstrated that microglia can become EYFP<sup>+</sup> MHC II<sup>+</sup> DC in vivo following intracerebral injection of IFN- $\gamma$ , and that these IFN- $\gamma$  induced brain DC are potent activators of naïve T cells compared with their EYFP<sup>neg</sup> microglia counterparts. Interestingly, Flt-3 inhibition of microglia blocks IFN- $\gamma$  induced expression of MHC II and CD86, suggesting that it is involved in regulating the capacity of microglia to become functional APC under inflammatory conditions [39]. Furthermore, although multiple models of brain injury/infection show that CD11c<sup>+</sup> MHC II<sup>+</sup> DC in the CNS arise from peripheral hematopoietic cells, the contribution of resident DC in these settings is likely to occur as well. In the spinal cord of EAE mice at the peak of disease, around 20 % of the DC present are CNS derived and show inhibition of T-cell proliferation [66].

### CNS injury

Several traditional injury models have been employed to study DC responses to neuronal trauma from strokes or seizures. Neuroinflammation, resulting from ischemic injury by permanent middle cerebral artery occlusion in rats, has demonstrated a population of OX62<sup>+</sup> (an antibody that recognizes the rat ortholog of CD103) and MHC II<sup>+</sup> DC around the periphery of ischemic lesions hours after the stroke and within the core of the lesion 6 days later [89]. The OX62<sup>+</sup> DC were also associated with the production of pro-inflammatory cytokines within the lesion, suggesting a functional DC response to the injury. These findings were later expanded upon in a kainic acid excitotoxicity (seizure) model, where OX62<sup>+</sup> MHC II<sup>+</sup> DC near the lesion site were shown to arise from a BM-derived population [121]. In addition, kainic acid-induced seizures in the *Cd11c/eyfp* Tg mouse also revealed EYFP-expressing cells responding to damage of the hippocampus and, although less in number, their morphologies were similar to those described for seizure-activated microglia in the hippocampus of EGFP<sup>+</sup>*cfms* (CSF-1R) mice [23].

Other work using photothrombosis-induced focal ischemia described a CD45<sup>hi</sup> CD11b<sup>+</sup> CD11c<sup>+</sup> brain DC population that could be isolated from infarcted tissue

6 days post-ischemia with a mature phenotype, i.e., CD8 $\alpha$ <sup>neg</sup> DEC-205<sup>neg</sup> MHC II<sup>+</sup> CD80<sup>+</sup> CD86<sup>+</sup> [139]. While in the *Cd11c/eyfp* transgenic mouse 24 h after stroke injury, 80 % of EYFP<sup>+</sup> cells are resident derived, but after 72 h there is a one-to-one distribution between peripheral and resident DC [45]. Further, the peripherally derived DC were concentrated in the necrotic infarct zone, while the resident DC were confined to the penumbral zone in association with infiltrating T cells, suggesting their possible immunomodulatory role [45].

### Aging of the CNS

The aging process has been linked to a progressive accumulation of cytokines, suggestive of a heightened pro-inflammatory state within the CNS that mostly has been attributed to an elevated level of microglia activation [30, 42]. A competing hypothesis revolves around the concept of age-related microglial senescence leading to impaired neuroprotection and increase neurodegeneration [30, 158]. Following the immunological link to age-related neurodegeneration, several studies have explored an additional correlation between aging and the accumulation of DC. Stichel and Luebbert [157] found CD11c<sup>+</sup> cells with morphologies consistent with DC prevalent throughout the brains of mice that were 12 months or older, while similarly labeled cells were only evident within the meninges and choroid plexus of younger mice. These cells were also characterized by a CD11b<sup>+</sup> DEC205<sup>+</sup> phenotype, and were found to co-label with cathepsin S (a cysteine protease implicated in antigenic MHC II processing). These findings were further expanded upon by Kaunzner et al. [81], who showed an accumulation of both resident and peripherally derived EYFP<sup>+</sup> cells in aged brains when compared to younger control animals. Quantification of these EYFP<sup>+</sup> cells in the cortex, corpus callosum, and cerebellum of the aged brain revealed a two to fivefold increase in cell number. In contrast, either no change or a decrease in the number of EYFP<sup>+</sup> cells was noted in regions of adult neurogenesis, e.g., the hippocampus. In parallel, there was a significant increase in the expression of DC maturation markers (e.g., MHC II and CD86) on these cells. Collectively, the numerical and phenotypic changes in EYFP<sup>+</sup> cells indicate that these APC coincide with the alteration in CNS inflammatory tone associated with aging [81].

### CNS infections

Pathogens capable of establishing CNS infections arise from fungal, bacterial, protozoal, viral, and prion origins. Such infections can give rise to inflammation of the brain (encephalitis), meninges (meningitis), and spinal cord (myelitis). Complications resulting from CNS infections

range from headaches, nausea and vomiting, deficits in motor control, seizures, and behavioral abnormalities. Treatments usually follow a two-pronged approach involving the administration of a biostatic (if available) and anti-inflammatory agents to avoid sequelae. Consequently, understanding the contributions DC may make to host defense against various CNS pathogens is very important for the design of more efficacious therapies for such diseases.

### Protozoal infections

*Toxoplasma gondii* is a protozoan intracellular parasite that infects all animals and most birds [77], and is largely considered the most prevalent zoonotic disease in the world [161]. The greatest impact *T. gondii* has on human health is congenital transmission, which may lead to serious morbidity or mortality in utero or among newborns [113]. *T. gondii* is currently thought to gain access to the brain by crossing the BBB using migratory leukocytes (e.g. DC) as “Trojan horses” [31, 90]. Studies of *T. gondii*-induced encephalitis (TE) have revealed the presence of CD11c<sup>+</sup> 33D1<sup>+</sup> CD11b<sup>+</sup> F4/80<sup>+</sup> DC responding at the peak of acute infection [46], a phenotype similar to CD11b<sup>+</sup> tissue DC [52]. DC found in the TE brain were mature, capable of presenting antigen to naïve T cells, major producers of IL-12, and directed a T<sub>H</sub>1 CD4<sup>+</sup> T-cell bias [46, 47]. Interestingly, using the *Cd11c/eyfp* mouse, it has been reported that the majority (91 %) of the microglia (CD11b<sup>+</sup> and CD45<sup>int</sup>) during TE are EYFP<sup>+</sup> and efficient APCs; however, two-thirds of these cells are bone-marrow derived [76].

### Bacterial infections

Relatively few bacteria are capable of causing disease within the CNS; however, bacterial meningitis can be more severe than other etiologies and can result in severe sequelae including neurologic disabilities, stroke, hydrocephalus, loss of limb, herniation, sepsis, and death [166]. The leading causes of bacterial meningitis are *Streptococcus pneumoniae* and *Neisseria meningitidis*, which are capable of infecting both healthy and immunologically compromised individuals [166]. Other bacteria capable of causing human disease include Group B Streptococci, *Escherichia coli*, *Listeria monocytogenes*, *Haemophilus influenzae*, and *Borrelia burgdorferi* [72].

Early work by Pashenkov et al. [127] showed that CSF from patients suffering from bacterial meningitis caused by *S. pneumoniae* and *N. meningitidis*, as well as patients with Lyme meningoencephalitis from *B. burgdorferi*, possessed higher levels of both myeloid DC (CD11c<sup>+</sup> HLA-DR<sup>+</sup>)

and pDC (CD123<sup>hi</sup> HLA-DR<sup>+</sup>) than patients suffering from non-inflammatory neurological diseases. The CSF from these patients was further characterized by high levels of inflammatory chemokines, with stromal cell-derived factor-1 $\alpha$  playing a prominent role. In a separate study, the CSF from *B. burgdorferi* infected patients resulted in the maturation of human monocyte-derived DC (as defined by higher levels of HLA-DR, CD80, and CD86) isolated from healthy donors, which led to better induction of T<sub>H</sub>1 responses and an increased ability to act as stimulators in mixed leukocyte reactions (MLR) [126]. This data suggest that DC utilize the ventricular system as a means of conveyance during inflammation.

In a rodent model of CNS listeriosis, low numbers of CD45<sup>hi</sup> CD11c<sup>+</sup> DC were observed within the brain by 7 days post-infection (dpi), just before a CD8 $\alpha$ <sup>+</sup> dominated T-cell response led to bacterial clearance. This data suggests that DC were involved in the antigen uptake and processing responsible for T-cell activation [63]. Likewise, in another animal model of neonatal meningitis induced by intranasal infection with *E. coli* K1, a link between bacterial load/host survival and the expression of CD47 (an integrin-associated protein that has been implicated in immune tolerance through its interactions with signal regulatory protein  $\alpha$  [9, 104, 165]) on DC was established. *E. coli* K1 lead to increased expression of CD47 on the surface of monocyte-derived DC [21]. CD47 upregulation coincided with both an immature DC status and refractory antigen presentation behavior during MLR, even in the presence of lipopolysaccharide. When the ability of *E. coli* K1 to upregulate CD47 on DC was countered with siRNA, DC matured and presented antigen in a MLR as expected. Furthermore, when monocyte-derived DC were pre-treated with CD47 siRNA and adoptively transferred into wild-type mice, an *E. coli* K1 CNS infection was cleared and no signs of meningitis were found when compared to mice adoptively transferred with control treated monocyte-derived DC. These data imply that the efficacious infiltration of DC into the CNS of *E. coli* K1 hinged upon the ability of the DC to circumvent this bacterial strain's mechanism of host immune evasion.

### Viral infections

Neurotropic viruses represent another pathogenic class capable of causing CNS disease. Viruses enter the CNS either through infections that alter the vascular endothelium of the brain or by crossing the BBB via an infected leukocyte “Trojan horse” [107]. In addition, infection of the peripheral nervous system followed by hijacking of cellular retrograde transport systems can result in CNS viral infiltration [107]. Encephalitogenic viruses can be transmitted by several vectors, and arthropod-borne viruses

(arboviruses) represent the most prominently contracted form [73]. Arboviruses are zoonotic and those with the highest degree of prevalence fall within the *Flaviviridae*, *Togoviridae*, and *Bunyaviridae* families of RNA viruses. Additional neurotropic viruses, not transmitted by biting insects, include both DNA- and RNA-based viruses, such as herpes simplex virus-1 (HSV-1), varicella zoster virus, rabies virus, human immunodeficiency virus, influenza virus, measles virus, lymphocytic choriomeningitis virus (LCMV), Epstein–Barr virus, cytomegalovirus, and enteroviruses [107].

Unfortunately, despite the existence of established animal models for many of the aforementioned neurotropic viruses, there is a paucity of in vivo experimental evidence describing the role of DC in neuroinflammation. Instead, most studies of DC function are restricted to ex vivo examination of BM or spleen-derived DC in response to these pathogens [3, 25, 35, 36, 65, 94, 160]. Conversely, a vast amount of information exists pertaining to the role microglia and PVM play in response to viral infections of the CNS [82]. However, given the ongoing discovery of new DC subsets [64], the contribution of DC-mediated immune responses to neurotropic viruses is important to consider in the context of human disease.

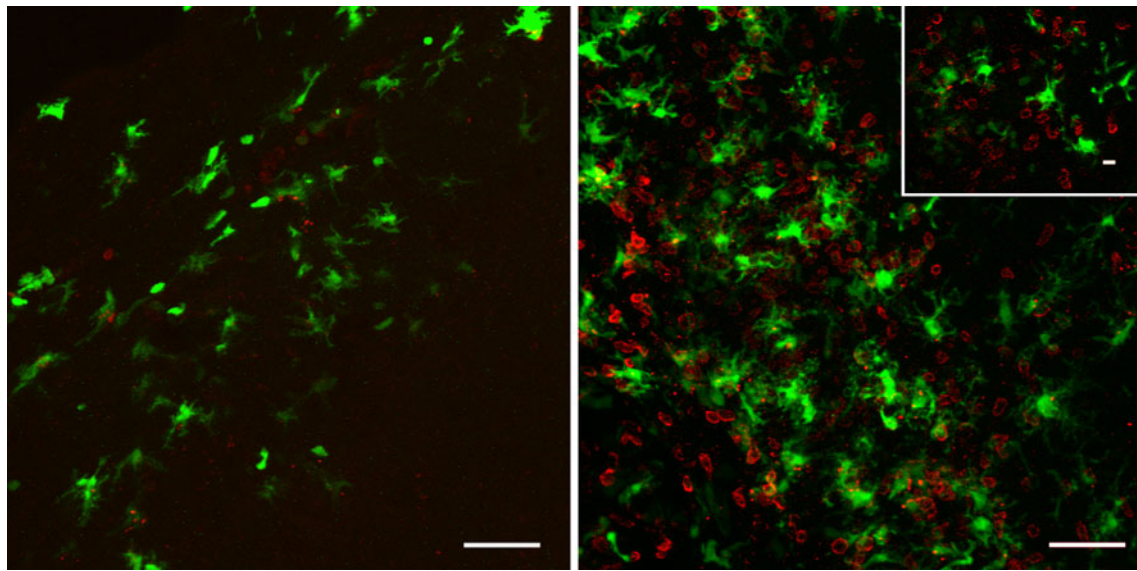
Several in vivo studies have provided valuable insight into elucidating the role of DC in response to CNS viral infection. Work with intracranial murine hepatitis virus (MHV) infections has identified two CD11c<sup>+</sup> DC subtypes within the brains of wild-type and CCL3<sup>-/-</sup> mice, which were differentiated by CD11b<sup>neg</sup> CD8 $\alpha$ <sup>+</sup> DEC205<sup>+</sup> and CD11b<sup>+</sup> CD8 $\alpha$ <sup>neg</sup> DEC205<sup>neg</sup> phenotypes [164]. Of these two populations, the CD11b<sup>+</sup> CD8 $\alpha$ <sup>neg</sup> DC subtype proved to be the most prominent and mature based on its expression of co-stimulatory molecules (CD40, CD80, and CD86). Interestingly, even though both the CD8 $\alpha$ <sup>+</sup> and CD8 $\alpha$ <sup>neg</sup> DC populations accumulated to similar levels by 5 dpi, the arrival of the CD8 $\alpha$ <sup>neg</sup> DC was delayed in the brain within the CCL3<sup>-/-</sup> mouse, suggesting these CD8 $\alpha$ <sup>neg</sup> DC utilize either CC chemokine receptor 1 (CCR1) or CCR5 for tissue homing. In addition, in a West Nile virus infection model, the most prominent DC subset found within the brain of mice between 4 and 6 dpi were of a CD11c<sup>+</sup> BST-2/PDCA-1<sup>+</sup> B220<sup>+</sup> CD45RA<sup>+</sup> Ly-6C<sup>+</sup> pDC phenotype, and their locations within the infected brain were paralleled by IFN $\alpha$  mRNA tissue distribution patterns [20]. Other chemokines/chemokine receptor pairings have also been implicated in the recruitment of pDC, as well as cDC, during HSV-1 infections of CXCL10<sup>-/-</sup> and CXCR3<sup>-/-</sup> deficient mice; in each case “knocking out” the respective chemokine receptors correlated with higher susceptibility to the virus [169]. Aside from chemokine gradients, leukocytes recognize inflammation-induced variations in cell-adhesion molecule expression during the tissue homing process. In

this regard, VCAM-1 has been shown to be important for DC infiltration in the brains of LCMV-infected mice [123]. These examples illustrate how disparate the types of DC subsets can be in response to different viral pathogens, reacting perhaps to the various methods each virus employs to evade host immunity.

In addition to the identification of DC phenotypes within the virally infected CNS, several investigations have examined the functional properties of these cells under those conditions. For example, CD11c<sup>+</sup> CD45<sup>hi</sup> F4/80<sup>neg</sup> DC, isolated from mouse brains and spinal cords after intracranial infection with Theiler’s murine encephalomyelitis virus, are able to present antigen to naïve proteolipid (PLP<sub>139–151</sub>) T-cell receptor-restricted CD4<sup>+</sup> T cells leading to their proliferation and production of IL-2 [108], a property not shared by macrophages (CD45<sup>hi</sup> F4/80<sup>+</sup>) and microglia (CD45<sup>low</sup> F4/80<sup>+</sup>). Work employing immunocytotherapy as a means of treating chronic LCMV infection, further demonstrated that only the accumulation of CD45<sup>hi</sup> CD11c<sup>+</sup> DC within the CNS (not CNS macrophages or microglia) was effective in stimulating the production of antiviral cytokines from LCMV-specific memory CD8<sup>+</sup> T cells [92]. This result was punctuated by the inability of adoptively transferred LCMV-specific memory T cells to resolve the viral infection when these DC were depleted from *Cd11c/dtr* Tg prior to immunocytotherapy.

Intranasal (i.n.) vesicular stomatitis virus (VSV) infections represent another well-established encephalitis model [28, 59, 74, 101, 140]. Using i.n. VSV infections, Steel et al. [153] have observed a small spike in cDC (CD45<sup>hi</sup> CD11c<sup>+</sup> PDCA-1<sup>neg</sup>) infiltration within the brain between 6 and 10 dpi; experimental depletion of these DC correlated with an elevation of viral titers in the brain and increased mortality. In more recent work, D’Agostino and colleagues [34] employed i.n. VSV infection of the *Cd11c/eyfp* reporter mouse to focus on the early interactions of DC in the olfactory bulbs during the acute viral infection. In this work, it was demonstrated that EYFP<sup>+</sup> cells at 4 dpi amassed around VSV-infected neuropil in the olfactory nerve and in glomerular layers of the olfactory bulb (OB). These EYFP<sup>+</sup> cells displayed an activated morphology, and projected their processes into VSV-infected neuronal tissue. EYFP<sup>+</sup> cells harvested from the OB were further characterized by flow cytometry into three CD45<sup>+</sup> CD11b<sup>+</sup> populations. One population, identified as CD45<sup>int</sup> CD11b<sup>hi</sup>, was shown to emanate from the brain and contained a CD11c<sup>+</sup> CD103<sup>+</sup> MHC II<sup>neg</sup> phenotype, which was distinct from the major CD11c<sup>low/neg</sup> CD103<sup>neg</sup> MHC II<sup>neg</sup> microglia. A second population, identified as CD45<sup>hi</sup> CD11b<sup>hi</sup>, was peripherally derived and phenotypically resembled a mucosal DC subset as it was CD11b<sup>+</sup> CD103<sup>+</sup> CD115<sup>+</sup> Gr1<sup>+</sup> Ly-6c<sup>+</sup> [34]. The third population, identified as CD45<sup>hi</sup> CD11b<sup>int</sup>, had attributes most akin to





**Fig. 1** Putative DC within the brain can interact with CD4<sup>+</sup> T lymphocytes following viral-induced neuroinflammation. Representative confocal Z-stack analysis of a VSV intranasally infected olfactory bulb at 4 (*left*) and 7 (*right*) days post-infection. CD11c/EYFP<sup>+</sup> cells (*green*) are found throughout glomerular tissue richly

populated with CD4<sup>+</sup> T cells (*red*). *Inset* depicts a confocal section in which CD11c/EYFP<sup>+</sup> cells are in physical contact with CD4<sup>+</sup> T cells. Representative images from three experiments with an  $n = 3$ ; *scale bar* 50  $\mu\text{m}$  (10  $\mu\text{m}$  *inset*)

monocyte-derived DC as it was CD11b<sup>+</sup> CD103<sup>neg</sup> CD115<sup>neg</sup> Gr1<sup>neg</sup> Ly-6c<sup>+</sup> [34]. These latter two DC populations found in the OB were phenotypically mature (MHC II<sup>+</sup> CD80<sup>+</sup> CD86<sup>+</sup>) and capable of functionally promoting *ex vivo* T lymphocyte proliferation and T<sub>H</sub>1 cytokine production, contrary to the CD45<sup>int</sup> CD11b<sup>hi</sup> microglial population. These data suggest that DC are both present early on, and participate in immune surveillance and activation within the parenchyma of the virally infected brain, before the infiltration of peripheral immune cells, e.g., T lymphocytes (Fig. 1).

#### Prion infections

Transmissible spongiform encephalopathies (TSE) are subacute neurodegenerative diseases that affect animals and have been comprehensively reviewed by Mabbott and MacPherson [102]. The primary pathological features of TSE include a sponge-like tissue appearance, neuronal death, gliosis, and high levels of amyloid protein aggregates. The causative agent of TSE found in the amyloid aggregates is termed a prion, a non-nucleic acid-based infectious protein particle [135]. An overwhelming majority of the work involving prions and DC has either focused on the neuroinvasive transmission of the infectious agent into the CNS [55, 149], or used DC as vaccine vectors for the treatment/prophylaxis of TSE [7, 48, 119, 142]. However, one group has studied the potential recruitment of DC into the brain resulting from TSE. Using

immunohistochemical (IHC) techniques, DEC205<sup>+</sup> cells with DC morphology were found within spongiform-associated areas of the brain in mice infected with a prion, whereas these cells were prominently identified only within the meninges and choroid plexus of healthy controls [141]. These results again highlight that DC are associated with sites of neuroinflammation. It remains to be seen, however, if TSE-induced DEC205<sup>+</sup> DC is in response to or contributes to the pathogenesis of this disease.

#### Future perspectives

Understanding the origins of DC found within the brain

Given that DC or “DC-like cells” have been identified in multiple models of neuroinflammation, it is now necessary to move beyond IHC/immunofluorescence phenotyping of these cells in order to assess their origins using the armamentarium of modern day immunologists. The more popular tools include gene deficiency models, both non-genetic and genetic depletion methods, cell type-specific gene expression (including reporter mice), and knockout models specifically designed for cells within the mononuclear phagocyte system. The utilities and caveats for each of the above methods have been extensively reviewed elsewhere [27]. In some instances, one or two of these methods have been applied to the study of DC [5, 23, 34, 45, 54, 81, 92, 153]. However, extending these

observations to include newer technologies will inevitably provide more in vivo developmental and functional insight to further define the role of DC during neuroinflammation. Understanding the ontogeny of DC involved in neuroinflammation could assist in identifying their functional roles (which could be disease dependent), tissue reservoirs, and ports of entry/exit for access to the lymphatic system—each of which are active areas of CNS DC research.

#### Migration of DC between the CNS and peripheral compartments

How DC migrate from the brain to secondary lymphoid structures remains an intriguing question (see Weller et al. [167] for review). Most research has implicated the deep cervical lymph nodes as the primary drainage site from the brain, as demonstrated by intracranial injection of labeled antigen or preloaded DC [33, 80, 96]. However, given that CSF can drain through the cribriform plate into the lymphatic system, the critical role that the nasopharynx-associated lymphoid tissue (NALT; referred to as Waldeyer's ring in humans) may play in afferent immunity should also be explored [122]. Utilizing non-invasive imaging technologies like two-photon microscopy, positron emission tomography, and magnetic resonance imaging, methods of monitoring DC within the periphery have been developed and employed [11, 19]. The combination of these imaging methods and neuroinflammation models will undoubtedly provide new insight into the migration patterns used by DC to acquire, transport, and present CNS-derived antigens in lymphoid structures. Such a strategy was employed to study DC interactions within inguinal lymph nodes shortly after subcutaneous infection with vaccinia virus and VSV [69]. Analogous strategies have been implemented to follow DC migration in EAE and TE models of neuroinflammation [75, 76]. However, while these studies went a long way towards illuminating DC migration patterns during neuroinflammation, they either focused on phenomena within the site of inflammation or concentrated on the dynamics of DC movements in lymphoid organs.

#### Therapeutic employment of DC in the brain during CNS disease

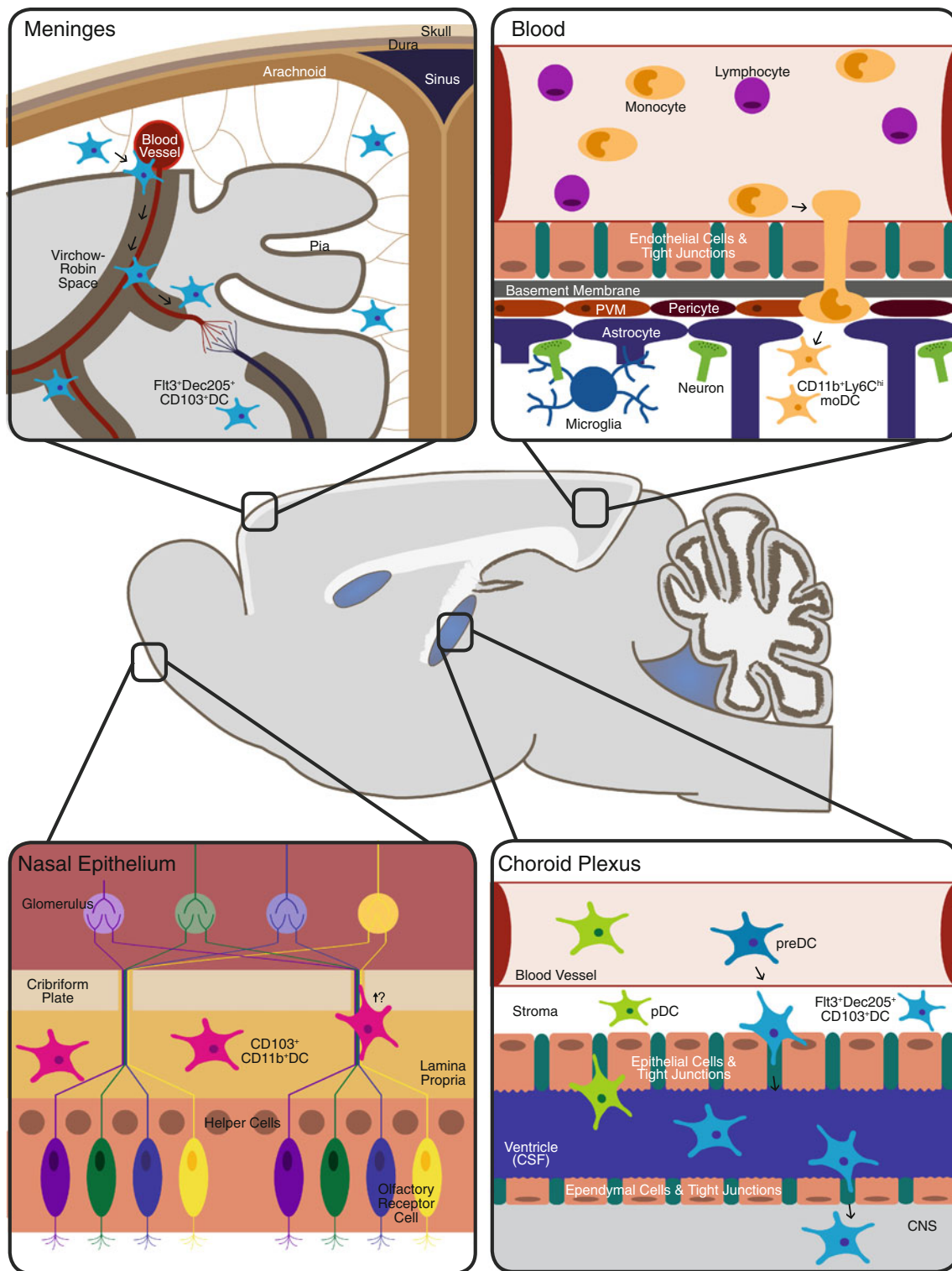
Modulating the response of DC during neuroinflammation is currently being considered as a therapeutic approach toward ameliorating several CNS diseases. The most prominent area of research lies in the search for an efficacious immunotherapy for glioblastoma multiforme (GBM). GBM is an aggressive form of brain cancer with a dismal prognosis, even after initiating full treatment options with median survival ranging from 9 to 12 months

after diagnosis [103]. At the time of writing this review, the use of DC-based vaccines represents roughly 20 % of active clinical trials for GBM-based immunotherapies [71]. DC-based vaccines for GBM commonly employ the loading (co-culturing) of autologous DC with either tumor lysates, apoptotic tumor cells or tumor-based cDNA [155]. Fusions between DC and tumor cells (to yield a multinucleated cell body) represent another method to generate such vaccines [88]. Recent studies have looked to enhance the efficacy of DC vaccines by implementing Flt3L gene therapy to increase the number of DC within tumors, or with thymidine kinase gene therapy coupled with ganciclovir to increase tumor cytotoxicity [4, 116]. In another approach, direct inoculation of the tumors with a DC vaccine, coupled with a subcutaneous injection regimen, led to significantly higher survival compared to controls [128].

Treatment modalities relating to other neuroinflammatory diseases are also being investigated. One example used the inhibition of DC functions with CEP-701 (a FLT3 inhibitor) as a treatment for EAE, which resulted in decreased numbers of brain DC infiltrates and a concomitant decrease in  $T_H1$  and  $T_H17$  responses [151]. In a spinal cord injury model, GM-CSF was used to increase the numbers of cells with DC morphology, along with neural stem/progenitor cells, which led to earlier recovery of locomotor function [62]. Lastly in an optic nerve injury model, immediate subcutaneous injection of glatiramer acetate loaded BM-derived DC, led to significant T-cell independent neuroprotection, believed to be associated with enhanced DC infiltration [97].

#### Conclusion

DC participation in brain immune responses is far from being completely understood, despite the progress that has been made since Medawar's initial observations of an immunologically privileged status within the CNS [110–112]. We now know that CNS immune privilege mostly corresponds to a highly controlled condition of immunological surveillance, and that DC can be found in various tissue reservoirs within the steady-state CNS. The fact that some semblance of immunological surveillance (either directly by DC or indirectly mediated via microglia or PVM) occurs is unquestionable given the observations made with animal models of neuroinflammation and during human clinical studies. Figure 2 illustrates our current understanding of DC positioning in the steady-state and potential sites that DC are believed to migrate from during neuroinflammation. Despite this knowledge base, some questions that still remain unanswered include: (1) Can microglia differentiate into DC-like cells in a manner



**Fig. 2** Schematic representation of tissue reservoirs believed to be the source of DC infiltration during neuroinflammation. In the steady-state brain  $Flt3^+$  DC are primarily found within the meninges and choroid plexus. Evidence for DC-like cells has also been found within circumventricular organs such as the area postrema, the subfornical organ, the median eminence, and the pituitary (not depicted for simplicity). During neuroinflammation (e.g., of viral etiology) the DC found within these area are postulated to enter the brain parenchyma

in response to cytokine/chemokine gradients. Other potential sources of infiltrating DC are the nasal mucosa, nasal-associated lymphoid tissue, and the blood, in the form of monocyte-derived DC (moDC). Therefore, in light of these various reservoirs, the methods of DC infiltration are believed to range from direct migration into brain tissue, diapedesis followed by crossing of the glia limitans from the Virchow–Robin spaces, and passage from CSF through the CVOs into the brain parenchyma; image not to scale

similar to inflammatory monocytes? (2) At what point does the tight control of immunological surveillance relax during the early stages of neuroinflammation? (3) How and where are effector T cells primed with CNS antigen? (4) What subsets of DC are the prominent players during immune responses that allow T-cell entry into the CNS? Finally, (5) what are the dynamics of brain DC antigen presentation associated with secondary (and possibly tertiary) lymphoid structures? Attempting to answer these questions will undoubtedly improve our current understanding of CNS DC biology, as well as better our attempts to design treatment strategies for neuroinflammatory and immunologically linked neurodegenerative diseases.

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